
AVAILABLE UNIVERSITY FUND ADDITIONAL REPORTING REQUIREMENTS – QUARTERLY

May 2017



Prepared by
The University of Texas System
Office of the Controller

Report to the Legislative Budget Board and Governor
Pursuant to Rider No. 7 (b) to Available University Fund Appropriations
HB 1, 84th Legislature, Regular Session, Page III-63

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RIDER NO. 7 (B) TO AVAILABLE UNIVERSITY FUND APPROPRIATION

The text of Rider No. 7(b) to the Available University Fund Appropriation (Page III-63) in House Bill 1, 84th Legislature, Regular Session (GAA), is as follows:

“7. Reporting Requirements for System Office Operations and System Initiatives.

- b. Additionally, The University of Texas System and Texas A&M University System shall report to the Legislative Budget Board no later than February 28, May 31, and August 31 of each fiscal year an update of any changes to the information reported in subsection (a), including:
- (1) Expenditure amounts to date for the current fiscal year for each activity, including object of expense detail;
 - (2) Updated Available University Fund allocations to system office operations and system initiatives for the current and two future fiscal years (projected), including any new activities and changes to existing activities, and an explanation for those changes;
 - (3) A summary of any actions taken by the Board of Regents since the most recent report that relate to system office operations or system initiatives; and
 - (4) Any additional information requested by the Legislative Budget Board. ”

QUARTERLY REPORTING - SYSTEM OFFICE AND SYSTEM INITIATIVES

EXPENDITURE AMOUNTS TO DATE

Expenditure amounts to date for The University of Texas System Administration (U. T. System Administration) reflects information from September 1, 2016, to April 30, 2017.

Information reported in both System Office Operations and System Initiatives includes Available University Fund (AUF) support and maintenance allocations along with other sources of funds labeled as “institutional funds”. Amounts reported as institutional funds reflect expenditures from sources other than the AUF and include revolving funds expended related to operations, with the exception of claims and related costs for insurance funds managed by U. T. System Administration. The revolving funds presented would normally be eliminated as part of the annual financial report (AFR) preparation process and represent a difference in presentation between this analysis and amounts in the AFR. There may be additional differences between the AFR and this report for activities (e.g. the Lone Star Stroke network) which are not related to System Office Operations or System Initiatives and are not funded with AUF appropriations.

For a detailed description of the purpose and authority for each reported activity, please refer to the AUF report or the annual AUF additional reporting requirements.

UPDATED AUF ALLOCATIONS TO SYSTEM OFFICE OPERATIONS AND SYSTEM INITIATIVES

Updated AUF allocations to date reflect information from September 1, 2016, to May 10, 2017, the date of the regularly scheduled U. T. System Board of Regents meeting for May.

RIDER 7(B)(1) - EXPENDITURE AMOUNTS TO DATE FOR THE CURRENT FISCAL YEAR (FY 2017) FOR EACH ACTIVITY, INCLUDING OBJECT OF EXPENSE DETAIL

The University of Texas Administration - System Office Operations
 Report of Expenditure Activity - September 1, 2016 to April 30, 2017

		Board of Regents	System Administration
Method of Finance:			
011	Available University Fund	\$ 1,504,138.73	\$ 76,097,920.31
	Institutional Funds	119,874.12	69,361,850.01
	Total Method of Finance	<u>\$ 1,624,012.85</u>	<u>\$ 145,459,770.32</u>
Objects of Expense:			
Account # / Name			
1001	Salaries & Wages	\$ 1,080,529.19	\$ 55,297,590.51
1002	Other Personnel Costs	257,954.59	9,469,287.89
1005	Faculty Salaries (Higher Ed only)	-	-
1010	Prof Salaries - Faculty Equivalent	-	-
2001	Professional Fees & Svcs	41,126.52	8,446,267.90
2002	Fuel & Lubricants	-	117,485.58
2003	Consumable Supplies	3,969.22	125,927.58
2004	Utilities	113.56	668,030.91
2005	Travel	6,064.68	1,479,046.93
2006	Rent - Building	1,252.00	4,600,623.58
2007	Rent - Machine & Other	12,732.40	273,960.51
2008	Debt Service	-	-
2009	Other Operating Expense	220,270.69	62,399,179.24
3001	Client Services	-	513,377.16
5000	Capital Expenditures	-	2,068,992.53
	Total, Objects of Expense	<u>\$ 1,624,012.85</u>	<u>\$ 145,459,770.32</u>
AUF Net Position per Activity			
	Beginning Balance (AUF)	-	20,922,040.17
	Allocations (AUF)	1,504,138.73	76,097,920.31
	Less Lapse (AUF)	-	-
	Less Expenses (AUF)	(1,504,138.73)	(76,097,920.31)
	AUF Net Position - End of Period	<u>\$ -</u>	<u>\$ 20,922,040.17</u>

The University of Texas Administration - System Initiatives
 Report of Expenditure Activity - September 1, 2016 to April 30, 2017

		AUF Online and On-campus Enrollment Growth	Clinical Trials Network	Diabetes and Obesity Control	Engineering Initiative	Entrepreneurship Academy	External Audit Function Review
Method of Finance:							
011	Available University Fund Institutional Funds	\$ 16,882,686.23	\$ 898,190.34	\$ 1,240,472.08	\$ -	\$ 342,378.27	\$ -
		9,085.54	-	-	-	128.28	-
	Total Method of Finance	\$ 16,891,771.77	\$ 898,190.34	\$ 1,240,472.08	\$ -	\$ 342,506.55	\$ -

Objects of Expense:

Account # / Name

1001	Salaries & Wages	\$ 2,969,660.25	\$ 9,281.04	\$ -	\$ -	\$ -	\$ -
1002	Other Personnel Costs	439,724.06	1,416.03	-	-	-	-
1005	Faculty Salaries (Higher Ed only)	-	-	-	-	-	-
1010	Prof Salaries - Faculty Equivalent	-	-	-	-	-	-
2001	Professional Fees & Svcs	2,027,207.35	883,974.00	11,392.50	-	33,408.43	-
2002	Fuel & Lubricants	-	-	-	-	-	-
2003	Consumable Supplies	3,709.87	-	-	-	-	-
2004	Utilities	5.89	-	-	-	-	-
2005	Travel	58,293.96	-	6,444.12	-	8,098.66	-
2006	Rent - Building	1,920.00	-	62,407.44	-	-	-
2007	Rent - Machine & Other	-	-	-	-	-	-
2008	Debt Service	-	-	-	-	-	-
2009	Other Operating Expense	4,500,643.46	3,519.27	-	-	300,999.46	-
3001	Client Services	-	-	-	-	-	-
5000	Capital Expenditures	6,890,606.93	-	1,160,228.02	-	-	-
	Total, Objects of Expense	\$ 16,891,771.77	\$ 898,190.34	\$ 1,240,472.08	\$ -	\$ 342,506.55	\$ -

AUF Net Position per Activity

	Beginning Balance (AUF)	\$ 60,028,575.25	\$ 1,229,761.83	\$ 1,472,079.18	\$ 23,348.27	\$ 2,114,539.80	\$ 30,585.97
	Allocations (AUF)	-	-	-	-	-	-
	Less Lapse (AUF)	(21,021,216.00)	-	-	(23,348.27)	(900,000.00)	(30,585.97)
	Less Expenses (AUF)	(16,882,686.23)	(898,190.34)	(1,240,472.08)	-	(342,378.27)	-
	AUF Net Position - End of Period	\$ 22,124,673.02	\$ 331,571.49	\$ 231,607.10	\$ 0.00	\$ 872,161.53	\$ 0.00

The University of Texas Administration - System Initiatives
 Report of Expenditure Activity - September 1, 2016 to April 30, 2017

		Horizon Fund	Information Security	Institute for Transformational Learning	New South Texas University Planning (UTRGV)	Oracle Advanced Security	PeopleSoft Remediation	Productivity and Excellence Framework
Method of Finance:								
011	Available University Fund Institutional Funds	\$ 1,494,609.73	\$ 1,393,628.11	\$ 770,561.53	\$ 190,436.69	\$ -	\$ 2,836,630.52	\$ 139,386.81
		12,007.67	6,571.05	19,727.40	-	-	14,353.34	-
	Total Method of Finance	\$ 1,506,617.40	\$ 1,400,199.16	\$ 790,288.93	\$ 190,436.69	\$ -	\$ 2,850,983.86	\$ 139,386.81

Objects of Expense:								
Account # / Name								
1001	Salaries & Wages	\$ -	\$ -	\$ 372,361.63	\$ -	\$ -	\$ 1,349,271.82	\$ 49,879.32
1002	Other Personnel Costs	-	-	64,054.07	-	-	201,257.86	3,811.49
1005	Faculty Salaries (Higher Ed only)	-	-	-	-	-	-	-
1010	Prof Salaries - Faculty Equivalent	-	-	-	-	-	-	-
2001	Professional Fees & Svcs	2,462.29	-	905.99	190,436.69	-	622,255.36	6,017.90
2002	Fuel & Lubricants	-	-	-	-	-	-	-
2003	Consumable Supplies	-	69.48	363.86	-	-	-	-
2004	Utilities	-	-	-	-	-	-	-
2005	Travel	5,063.55	602.14	2,762.36	-	-	-	4,551.60
2006	Rent - Building	-	-	1,010.00	-	-	-	-
2007	Rent - Machine & Other	-	-	1,830.00	-	-	-	-
2008	Debt Service	-	-	-	-	-	-	-
2009	Other Operating Expense	1,499,091.56	1,393,416.82	347,001.02	-	-	678,198.82	75,126.50
3001	Client Services	-	-	-	-	-	-	-
5000	Capital Expenditures	-	6,110.72	-	-	-	-	-
	Total, Objects of Expense	\$ 1,506,617.40	\$ 1,400,199.16	\$ 790,288.93	\$ 190,436.69	\$ -	\$ 2,850,983.86	\$ 139,386.81

AUF Net Position per Activity								
	Beginning Balance (AUF)	15,237,592.99	9,286,833.73	17,685,582.37	\$ 190,436.69	\$ 11,216.22	5,572,011.71	867,953.50
	Allocations (AUF)	-	-	-	-	-	-	-
	Less Lapse (AUF)	-	-	-	-	(11,216.22)	(2,808,634.48)	-
	Less Expenses (AUF)	(1,494,609.73)	(1,393,628.11)	(770,561.53)	(190,436.69)	-	(2,836,630.52)	(139,386.81)
	AUF Net Position - End of Period	\$ 13,742,983.26	\$ 7,893,205.62	\$ 16,915,020.84	\$ 0.00	\$ (0.00)	\$ (73,253.29)	\$ 728,566.69

The University of Texas Administration - System Initiatives
 Report of Expenditure Activity - September 1, 2016 to April 30, 2017

		Proteomics	Regents Outstanding			Strength in	Texas FreshAIR	
		Research Core	Public Health	Teaching Award (UT	Research Experts	Numbers	Program	
		Infrastructure	Initiative	Austin)	Data Warehouse	Spend Analytics		
011	Available University Fund	\$ (39,450.03)	\$ 1,315,215.43	\$ 82.28	\$ 1,329,358.59	\$ 571,966.93	\$ -	\$ 94,936.80
	Institutional Funds	48,805.49	15,068.69	-	-	-	-	-
	Total Method of Finance	\$ 9,355.46	\$ 1,330,284.12	\$ 82.28	\$ 1,329,358.59	\$ 571,966.93	\$ -	\$ 94,936.80

Objects of Expense:

Account # / Name

1001	Salaries & Wages	\$ 39,167.87	\$ 11,411.60	\$ -	\$ 65,416.70	\$ 34,528.74	\$ -	\$ -
1002	Other Personnel Costs	6,776.82	2,030.32	-	11,282.26	6,069.12	-	-
1005	Faculty Salaries (Higher Ed only)	-	-	-	-	-	-	-
1010	Prof Salaries - Faculty Equivalent	-	-	-	-	-	-	-
2001	Professional Fees & Svcs	-	322.40	82.28	282.80	96,097.65	-	1,427.12
2002	Fuel & Lubricants	-	-	-	-	-	-	-
2003	Consumable Supplies	-	2,084.12	-	-	-	-	710.36
2004	Utilities	-	-	-	-	-	-	-
2005	Travel	36.75	-	-	-	-	-	2,585.11
2006	Rent - Building	-	1,346.00	-	-	-	-	13,805.00
2007	Rent - Machine & Other	-	-	-	-	-	-	32,364.40
2008	Debt Service	-	-	-	-	-	-	-
2009	Other Operating Expense	(36,625.98)	1,253,320.68	-	1,252,376.83	435,271.42	-	44,044.81
3001	Client Services	-	-	-	-	-	-	-
5000	Capital Expenditures	-	59,769.00	-	-	-	-	-
	Total, Objects of Expense	\$ 9,355.46	\$ 1,330,284.12	\$ 82.28	\$ 1,329,358.59	\$ 571,966.93	\$ -	\$ 94,936.80

AUF Net Position per Activity

	Beginning Balance (AUF)	\$ 380,339.90	4,292,989.92	3,274,101.39	\$ 2,606,604.42	\$ 6,999,764.38	792,278.47	\$ 85,920.25
	Allocations (AUF)	-	-	-	-	-	-	-
	Less Lapse (AUF)	-	-	(2,965,331.56)	(400,000.00)	-	(792,278.47)	14,015.55
	Less Expenses (AUF)	39,450.03	(1,315,215.43)	(82.28)	(1,329,358.59)	(571,966.93)	-	(94,936.80)
	AUF Net Position - End of Period	\$ 419,789.93	\$ 2,977,774.49	\$ 308,687.55	\$ 877,245.83	\$ 6,427,797.45	\$ -	\$ 4,999.00

The University of Texas Administration - System Initiatives
 Report of Expenditure Activity - September 1, 2016 to April 30, 2017

	Transformation in Medical Education Initiative (TIME)	UTRGV Communication and Marketing	Virtual Health Care Network	Clinical Data Network	CONACYT	National Security Network	Education Data Analytics
Method of Finance:							
011 Available University Fund Institutional Funds	\$ 824,605.08	\$ 107,515.97	\$ 953,214.45	\$ 251,093.69	\$ 138,062.76	\$ 10,000,000.00	\$ 1,162,220.00
	-	-	-	\$ -	\$ -	\$ -	\$ -
Total Method of Finance	\$ 824,605.08	\$ 107,515.97	\$ 953,214.45	\$ 251,093.69	\$ 138,062.76	\$ 10,000,000.00	\$ 1,162,220.00

Objects of Expense:

Account # / Name

1001 Salaries & Wages	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
1002 Other Personnel Costs	-	-	-	-	-	-	-
1005 Faculty Salaries (Higher Ed only)	-	-	-	-	-	-	-
1010 Prof Salaries - Faculty Equivalent	-	-	-	-	-	-	-
2001 Professional Fees & Svcs	-	22,895.97	-	-	-	-	-
2002 Fuel & Lubricants	-	-	-	-	-	-	-
2003 Consumable Supplies	-	-	-	-	-	-	-
2004 Utilities	-	-	-	-	-	-	-
2005 Travel	-	-	-	-	-	-	-
2006 Rent - Building	-	-	-	-	-	-	-
2007 Rent - Machine & Other	2,562.00	-	-	-	-	-	-
2008 Debt Service	-	-	-	-	-	-	-
2009 Other Operating Expense	822,043.08	84,620.00	953,214.45	251,093.69	138,063	10,000,000.00	1,162,220.00
3001 Client Services	-	-	-	-	-	-	-
5000 Capital Expenditures	-	-	-	-	-	-	-
Total, Objects of Expense	\$ 824,605.08	\$ 107,515.97	\$ 953,214.45	\$ 251,093.69	\$ 138,062.76	\$ 10,000,000.00	\$ 1,162,220.00

AUF Net Position per Activity

Beginning Balance (AUF)	\$ 2,661,663.02	\$ 2,400,893.68	\$ 10,581,833.98	\$ 12,400,000.00	5,000,000.00	\$ -	\$ -
Allocations (AUF)	-	-	-	-	-	10,000,000.00	1,162,220.00
Less Lapse (AUF)	-	(2,246,519.68)	-	-	-	-	-
Less Expenses (AUF)	(824,605.08)	(107,515.97)	(953,214.45)	(251,093.69)	(138,062.76)	(10,000,000.00)	(1,162,220.00)
AUF Net Position - End of Period	\$ 1,837,057.94	\$ 46,858.03	\$ 9,628,619.53	\$ 12,148,906.31	\$ 4,861,937.24	\$ -	\$ -